

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

2694-124PCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/463136
NEW

INTERNATIONAL APPLICATION NO.

PCT/EP99/03476

INTERNATIONAL FILING DATE

20 May 1999

PRIORITY DATE CLAIMED

20 May 1998

TITLE OF INVENTION

METHOD AND DEVICE FOR FIXING MICRO-AND/OR NANO-OBJECTS

APPLICANT(S) FOR DO/EO/US

JENTSCH, Winfried; SCHMUCKER, Ulrich; ZUBTSOV, Mikhail

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(3)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98./International Search Report with cited references
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

- 1.) First Page of World Publication W0/99/60373)
2.) Four (4) Sheets of Formal Drawings



U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO		ATTORNEY'S DOCKET NUMBER	
09 NEW 7463138		PCT/EP99/03476		2694-124PCT	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4). \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 840.00	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		19 - 20 =	-----	X \$18.00	\$ -----
Independent Claims		1 - 3 =	-----	X \$78.00	\$ -----
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		None		+ \$260.00	\$ -----
TOTAL OF ABOVE CALCULATIONS =				\$ 970.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ -----	
SUBTOTAL =				\$ 970.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ -----	
TOTAL NATIONAL FEE =				\$ 970.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ -----	
TOTAL FEES ENCLOSED =				\$ 970.00	
				Amount to be: Refunded \$	
				Charged \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>970.00</u> to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account. No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-2448</u> .					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
Send all correspondence to: Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292 P.O. Box 747 Falls Church, VA 22040-0747 (703)205-8000					
				SIGNATURE <u>Joe McKinney Muncy</u>	
				MUNCY, JOE MCKINNEY NAME	
				#32,334 (KM) REGISTRATION NUMBER	

/KM/sas January 20, 2000

09/463136

420 Rec'd PCT/PTO 20 JAN 2000

PATENT
2694-124PCT

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: WINFRIED, Jentsch et al
Int'l. Appl. No.: PCT/EP99/03476
Appl. No.: New Group: Unknown
Filed: January 20, 2000 Examiner: Unknown
For: METHOD AND DEVICE FOR FIXING MICRO-
AND/OR NANO-OBJECTS

PRELIMINARY AMENDMENT

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

January 20, 2000

Sir:

The following Preliminary Amendments and Remarks are respectfully submitted in connection with the above-identified application.

IN THE SPECIFICATION:

Please amend the specification as follows:

Before line 1, insert --This application is the national phase under 35 U.S.C. § 371 of PCT International Application No. PCT/EP99/03476 which has an International filing date of May 20, 1999, which designated the United States of America.--

IN THE CLAIMS:

CLAIM 3: Line 3, change "one of claims 1 or 2" to --claim 1--

CLAIM 4: Line 1, change "one of claims 1 to 3" to --claim 1--

CLAIM 5: Line 1, change "one of claims 1 to 4" to --claim 1--

CLAIM 6: Line 1, change "one of claims 1 to 4" to --claim 1--

CLAIM 7: Line 1, change "one of claims 1 to 4" to --claim 1--

CLAIM 8: Line 1, change "one of claims 1 to 7" to --claim 1--

CLAIM 9: Line 1, change "one of claims 1 to 8" to --claim 1--

CLAIM 10: Line 1, change "one of claims 1 to 9" to --claim 1--

CLAIM 11: Line 1, change "one of claims 1 to 10" to --claim 1--

CLAIM 12: Line 1, change "one of claims 1 to 11" to --claim 1--

CLAIM 13: Line 1, change "one of claims 1 to 12" to --claim 1--

CLAIM 14: Line 1, change "one of claims 1 to 13" to --claim 1--

CLAIM 15: Line 1, change "one of claims 1 to 14" to --claim 1--

CLAIM 16: Line 1, change "one of claims 1 to 15" to --claim 1--

CLAIM 18: Line 1, delete "or 17"

CLAIM 19: Line 1, change "one of claims 16 to 18" to --claim 16--

REMARKS

The specification has been amended to provide a cross-reference to the previously filed International Application.

The amendment to the claims is merely to delete the improper multiple dependent claims and place the application into better form prior to examination.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Joe McKinney Muncy
Joe McKinney Muncy, #32,334

KM/sas

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(Rev. 01/08/2000)

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

MAR 23 2000

Docket Number: 2694-0124P

Applicant, Patentee, or Identifier: Winfried JENTSCH et al.

Application or Patent No.: 09/463,136

Filed or Issued: January 20, 2000

Title: METHOD AND DEVICE FOR FIXING MICRO-AND/OR NANO-OBJECTS

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN NanoMont Gesellschaft für Nano Technologie gmbH

ADDRESS OF SMALL BUSINESS CONCERN Im Biotechnologiepark, Technologie-und Gründerzentrum, D-14943 Luckenwalde, Germany

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is not longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Mikhail ZUBTSOV

TITLE IN ORGANIZATION OF PERSON SIGNING Managing Partner

ADDRESS OF PERSON SIGNING Im Biotechnologiepark, Technologie-und Gründerzentrum, D-14943 Luckenwalde, Germany

SIGNATURE [Signature] DATE 16.03.2000

Method and Device for Fixing Micro- and/or Nano-Objects**Description**

The invention relates to a method and a device for fixing micro- and/or nano-objects with the characteristics of the species as named in the generic part of claims 1 and 15.

For the execution of complex biochemical analysis, such as DNA-, virus- or gene-analysis, the analysis and interpretation of a great number of single reactions is necessary. The state of the art is the parallel execution of few 10...100 analysis in so called microtitre plates. Therefore, the to be examined substance, which is placed on plates with regularly arranged depressions, is brought to a reaction with different analysis substances. The introduction of the test- and analysis-substances can take place fully automatically with so called pipetting robots, wherein amounts of substances of few 10....100 micro-liters are used. This method and the following extensive processing steps for the dissolving-out and the interpretation of the desired chemical reactions necessitate a very high equipment and time effort, so that such analysis only can be performed in special laboratories.

According to a method of US-Patent 5.445.934 a miniaturisation and simultaneous carrying out of the analysis is achieved because any nucleotide chains (oligo-nucleotides) can be synthesised on a support-chip by utilization of the four nucleotide basic elements and of masking technology known from the semi-conductor-technology. In this way a couple million different oligo-nucleotides can be produced on a chip and can be interpreted depending on the reaction with the test-substances by means of known methods (e.g. fluorescence analysis). The advantage of the high simultaneity is opposed by very small flexibility, as for each new to be detected substance (e.g. gene or gene portion) a new masking set with corresponding high costs has to be produced.

A further known method of the bio-chemical analytical chemistry uses balls made from glass, metal or plastics with a diameter of few micrometers up to few hundreds

micrometers as a support for the analysis substances. With this for example oligonucleotides are directly or through so called linkers set on the balls. This method is especially used for in-vivo-analysis, in which these balls are injected into a watery solution directly in the cells, vessels, etc..

According to EP 0 040 943 B1 holes are made in the support, into which cage-like holding devices made from wire or similar are hung. Several balls are then positioned and fixed in these cages in a manner described not in detail.

The production of such structures should be extremely work intensive. The realisation is not known. The miniaturisation is here limited. Furthermore, such a structure would be mechanically very instable and therefore would hardly be of practical use. The positioning and fixing of the balls have not been solved.

The invention is based on the object to achieve a simple, cheap and for a mass-production suitable method including a corresponding device, which enable an exact and reproducible positioning and fixing of a large number of bio-chemical active micro- and/or nano-objects in the form of three-dimensional shaped bodies such as micro-balls and macro-molecules on a common support.

The solution according to the invention is characterised in that the number of shaped bodies and therefore the to be analysed substances can be easily adapted to the requirements of the analysis to be carried out. This means that advantageously few to several ten-thousand substances can be determined. Furthermore, the arrangement of the coating of the shaped bodies can as regards to the chemical composition as well as the positioning on the support very easily be adapted to the requirements. Shaped bodies with the same coating can especially be provided several times on the support. Because of this redundancy an increase in the evaluation accuracy can be achieved. Therefore the method of analysis becomes very flexible and can be easily miniaturised (e.g. several ten-thousand balls per square-centimetre). Furthermore the coating of a ball consists of fractional part of a pico-litre of the analysis substance. Therefore, the consumption of partly very expensive analysis substances is reduced by several orders of magnitude compared with the microtitre method.

As shaped bodies according to the invention, known ball-like objects as well as macro-molecules can be used, which are coated with a specific analysis substance and which are dispersed in a watery, buffered solution. They are put into a capillary tube, preferably made from glass, which at its upper end has a filling hole having an inner diameter, which makes a filling process with a normal pipette or a pipetting robots possible. The capillary tube is tapered downwards to an outlet opening, so that it has at the bottom portion an inner diameter extending over a defined length, which is larger than the ball diameter, but smaller than twice the ball diameter. With a sufficient small capillary diameter the capillary force and the adhesion force prevent an exiting of the liquid and therefore the exiting of the balls from the outlet opening. By applying a force on the liquid phase in the capillary tube – e.g. by applying a pressure difference between the upper capillary filling hole and the lower capillary outlet opening (either an excess pressure at the top or a vacuum at the bottom) by means of electrostatical, magnetical or other physical forces- an exiting of the liquid phase, which contains the shaped bodies dispersed, takes place at the bottom end of the capillary tube.

According to the invention several of such capillary tubes, which are filled with shaped bodies having different coatings and characteristics, are regularly arranged to a positioning head, preferably in a hexagonal or in a rectangular pattern, so that at least the outlet openings and also the filling holes are arranged in a plane vertical to the capillary axis. This plane is following designated as the outlet plane.

If a support is placed parallel below the outlet plane at a distance, which is smaller than the diameter of the shaped body, and if the mentioned pressure difference is applied, the liquid phase as well as a single ball will exit each capillary onto the support, if the shaped body is a ball. The support can here be plane or structured.

The exiting balls have to be fixed on the support before the positioning head and the support, after finishing the positioning process, again are separated from each other, as otherwise the surface tension can draw back the balls into the capillaries when tearing the liquid film.

The fixing of the exited and placed balls can take place in different ways. For example the use of balls with magnetic core and the placing of a magnetic field, as well as the

use of an electrostatic load is possible. It is of advantage to produce directly a permanent fixing. This is achieved according to the invention in such a way, that the support is coated with a suitable substance before the positioning of the balls or that the support directly consists of this substance, which enters into a chemical bonding with the balls, their coating or parts thereof. For example, a pre-polymer able to be photopolymerised or a cross-linker can be used as a coating, which makes the fixing of the shaped bodies under the influence of the UV-lamp possible.

The exited liquid can be removed by different known methods, like evaporation, via drainage elements in the support or even by using additional capillaries for sucking off the liquid. A part of the liquid withdraws directly back into the capillaries because of the surface tension while withdrawing the positioning head. This effect can be increased in such a way that the material coupling, buffer liquid – support coating, is selected in such a way, that no wetting takes place.

After the fixing the positioning head and the support are separated from each other by suitable actuators. After this the next positioning process can take place.

During the movement of the balls in the capillaries it may happen, that these form clusters (agglutinate) because of the coagulation and/or adhesion effects, what would make the positioning impossible.

According to the invention this problem is solved in the way that the balls are electrostatically charged in the same sense – either by applying an exterior electrical field or preferably by modifying the coating with polar groups of the same polarity-. In this case the process of the “pressing-out” of the ball out off the outlet opening can very effectively be supported in such a way that a charge with opposing polarity is applied to the support.

After finishing the positioning and fixing process the balls are covered with a suitable gel to prevent a complete drying out, what can lead to a bio-chemical degradation of the analysis substances. Finally follows a covering with a mechanical protection layer, e.g. a film. This completes the production of the analysis chips.

The invention will be described exemplary in detail with reference to the accompanying drawings.

Fig. 1 is a schematic step-like view of a positioning and fixing process,

Fig. 2 is a top view of the outlet plane,

Fig. 3 is a functional block diagram of the device, and

Fig. 4 is a view of the loaded support plane.

Fig. 1 shows schematically the method according to the invention in four steps.

Shaped bodies, micro- and/or nano-objects, in the form of polystyren balls with a diameter of 10 micrometers and tubes 4 made from glass and with an internal diameter at its outlet opening 7 of 16 micrometers have been used here. The tubes 4 expand to a diameter of 5 mm at the inlet opening 8.

Respectively 19 tubes 4 are jointed in a hexagon pattern by means of a binding means 20 (Kommentar des Übersetzers: das Bezugszeichen 20 ist nicht in den Zeichnungen enthalten) to a positioning cell 3. The cascading of several positioning cells 3 again in a hexagonal arrangement makes a positioning head 5.

Distance pieces 6 with a length of 12 micrometers are arranged in an outlet plane 9 between the tubes 4, for keeping the distance between the outlet plane 9 of the positioning head 5 and a support plane 11 of the support. The positioning head 5 is moveable via an actuator 15 in the vertical direction. Actuators 16 and 17 serve for moving the positioning head 5 in the x- or y-direction (Fig. 3). The positioning head 5 is elastically suspended in the three axes (in the direction of the z-axis as well as rotatable around the x- and y-axis). Because of the elasticity in the z-direction the positioning head 5 can be non-destructively placed directly on the support 1, whereby the distance piece 6 guarantees the desired distance between the support plane 11 and the outlet plane 9. The elastic support around the x- and y-axis leads to an automatic compensation of angular errors between the outlet plane 9 and the support plane 11.

A wafer of around 1 cm² made from glass-clear polystyren is used as the support 1, which is provided on the support plane 11 with a few nanometer thick photopolymer layer 12. Fig.1 shows the support 1 without depressions. Therefore the necessity of a positioning in the x- and y-direction in the range of micrometers is not applicable. A positioning accuracy of few 10....100 micrometers is sufficient.

After the positioning of the support 1 by means of additional actuators 18 and 19 below the positioning head 5 its downward movement takes place until the distance piece 6 is placed on the support 1. A small excess pressure, which leads to the exiting and placing of the shaped bodies 2, micro and/or nano-objects, which are here foreseen in the form of balls, on the support plane 11, is now applied on the inlet side of the tube 4, which in advance was filled with the liquid phase and which can additionally be treated with ultrasonic sound. The treatment with ultrasonic sound serves amongst others for the separation of the balls.

A UV-lamp 13, which is directed onto the support 1 (Fig. 1), is now switched on for a short time. The polarisation, which is induced by the UV-light, fixes permanently the balls 2 on the support 1 (Fig. 4). Afterwards the positioning head 5 is again lifted by means of the actuator 15. A ring lamp is used as UV-lamp 13, which is arranged around a camera with a microscope objective. If an additional white light is connected at the side into the support 1, the placing of the distance pieces 6 and the balls 3 can be observed from below and can be used for the process control by means of known methods of the industrial image processing. A control device 14 controls and adjusts the actuators 15, 16, 17, 18 and 19, which are responsible for the movement of the positioning head 5 and of the support 1. The data, which is necessary for it, is determined by the sensors 10 and transmitted to the control device 14.

Reference numerals

- | | |
|----|---|
| 1 | support |
| 2 | Shaped bodies, balls (micro- and/or nano-objects) |
| 3 | positioning cell |
| 4 | capillary tube |
| 5 | positioning head |
| 6 | distance piece |
| 7 | outlet opening |
| 8 | filling hole |
| 9 | outlet plane |
| 10 | sensors |
| 11 | support plane |
| 12 | photopolymer layer |
| 13 | UV-lamp |
| 14 | control device |
| 15 | actuator |
| 16 | actuator |
| 17 | actuator |
| 18 | adjustment actuator |
| 19 | adjustment actuator |
| 20 | binding means |

Patent claims

1. Method for fixing micro- and/or nano-objects, which are contained in a liquid phase, on a support, characterised in that liquid phases containing several micro- and/or nano-objects (2) are filled into the wide filling holes (8) of conically narrowing tubes (4) and transported in the direction of a narrow outlet opening (7) of the tubes (4), wherein the shape and size of the narrow outlet openings (7) prevent the passage of more than one object (2), that the narrow outlet openings (7) of the tubes (4) are positioned three-dimensionally (in directions x, y and z) in relation to a support plane (11) before the objects (2) emerge and that the micro- and/or nano-objects (2) having passed through the outlet opening (7) are physically and/or chemically and/or mechanically fixed on the support (1) in the defined position.
2. Method according to claim 1, characterised in that the transport of the liquid phase including the solid micro- and/or nano-objects (2) through the tubes (4) takes place by means of an applied pressure difference between the wide filling hole (8) and the narrow outlet opening (7).
3. Method according to one of claims 1 or 2, characterised in that the exiting as well as the positioning and the fixing of the micro- and/or nano-objects takes generally place simultaneously.
4. Method according to one of claims 1 to 3, characterised in that the support plane (11) is covered with a reactive layer in advance.
5. Method according to one of claims 1 to 4, characterised in that

the fixing of the micro- and/or nano-objects (2) is achieved electrostatically and/or photochemically.

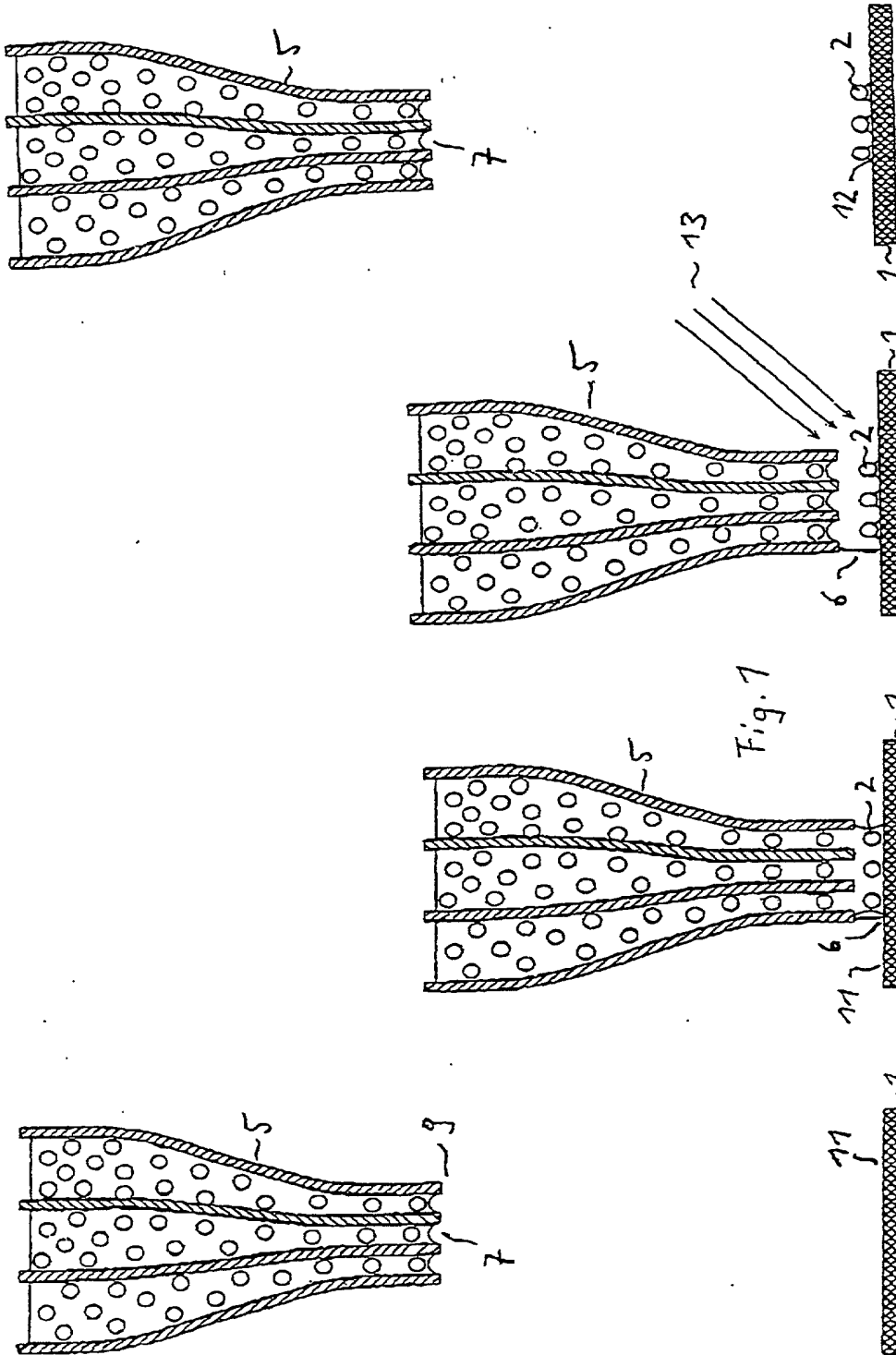
6. Method according to one of claims 1 to 4,
characterised in that
the fixing of the micro- and/or nano-objects takes place by mechanical means.
7. Method according to one of claims 1 to 4,
characterised in that
the fixing of the micro- and/or nano-objects, after these have been magnetised in advance, takes place by magnetic forces.
8. Method according to one of claims 1 to 7,
characterised in that
after fixing the micro- and/or nano-objects (2) on the supports (1), they are covered with a layer of gel.
9. Method according to one of claims 1 to 8,
characterised in that
for the prevention of a coagulation of the micro- and/or nano-objects (2) in the liquid phase the micro- and/or nano-objects (2) are charged electrostatically in the same sense and the support plane (11) is charged electrostatically in the opposite sense.
10. Method according to one of claims 1 to 9,
characterised in that
the micro- and/or nano-objects (2), which are in the same tube (4) are coated with biological-chemical active substances of one type and that the micro- and/or nano-objects (2), which are in different tubes (4), are coated with at least partly different substances.
11. Method according to one of claims 1 to 10,
characterised in that

the simultaneous arrangement of different biological-chemical substances are used for the detection of nucleotide sequences.

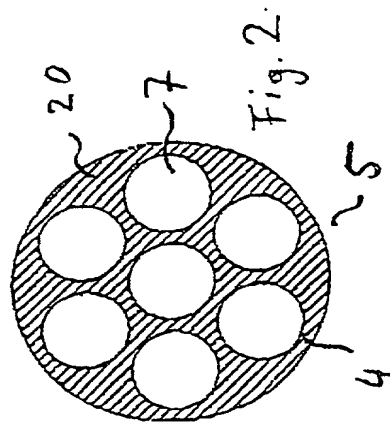
12. Method according to one of claims 1 to 11,
characterised in that
for the detection of nucleotide sequences a test liquid is applied on the support (1), which is provided with the micro- and/or nano-objects (2), and in that via known chemical reactions a macroscopic or microscopic determinable change of properties of the object surface, especially changes in colour or changes of the fluorescence properties are detected.
13. Method according to one of claims 1 to 12,
characterised in that
for the prevention of coagulation and adhesion of the micro- and/or nano-objects in the liquid phase, stabilising means, like tensides, are used.
14. Method according to one of claims 1 to 13,
characterised in that
capillaries are used as tubes (4).
15. Method according to one of claims 1 to 14,
characterised in that
three-dimensional shaped bodies are used as micro- and/or nano-objects or macro-molecules.
16. Device for the execution of the method according to claims 1 to 15,
comprising:
a three-dimensional adjustable positioning head (5), which comprises a bundle-like arrangement of conically narrowing tubes (4), which respectively have a wide filling hole (8) and a narrow outlet opening (7),
a support (1) with a support plane (11), which is arranged parallel to an outlet plane (9) of the tubes (4), and
actuators (15, 16, 17) for positioning the outlet openings (7) above the support plane (11) and adjustment actuators (18, 19) for positioning the support (1).

17. Device according to claim 16,
characterised in that
the positioning head (5) consists of several positioning cells (3).
18. Device according to claim 16 or 17,
characterised in that
distance pieces (6) are arranged at the outlet plane (9).
19. Device according to one of claims 16 to 18,
characterised in that
the tubes (4) are capillaries.

1/4



2/4



3/4

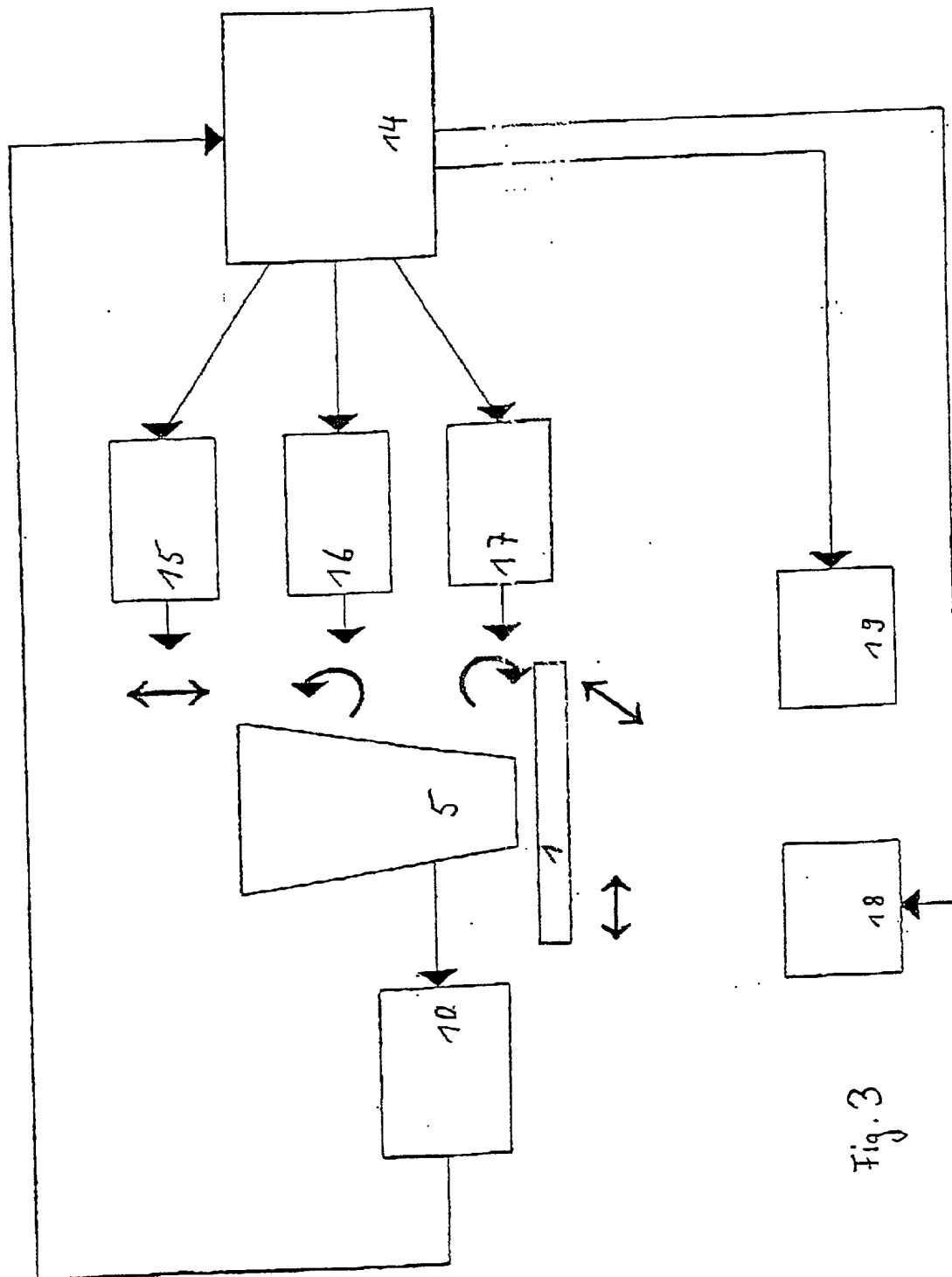


Fig. 3

4/4

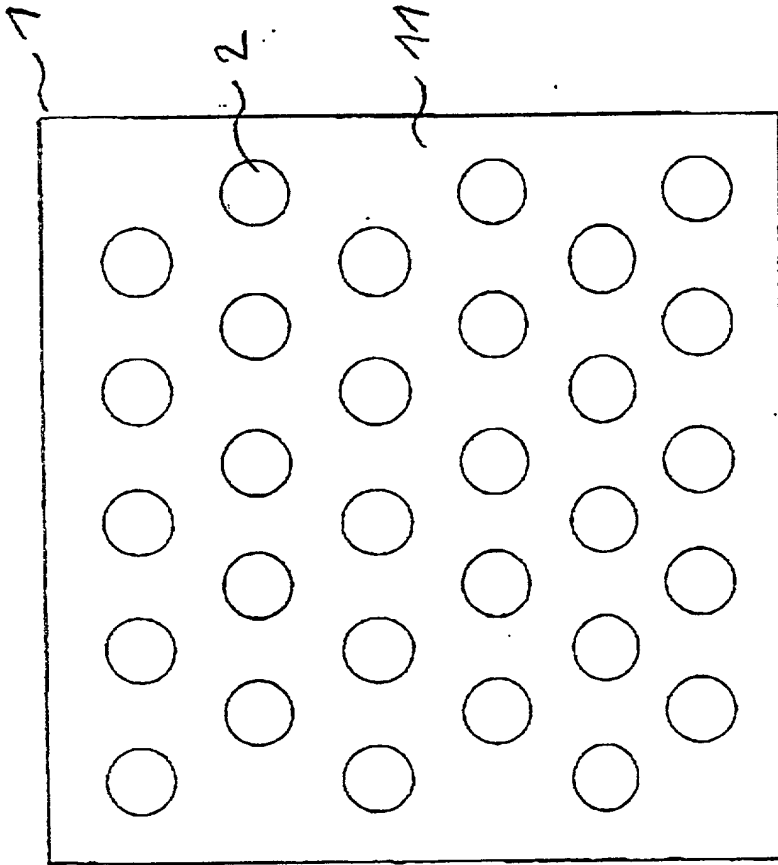


Fig. 4

BIRCH, STEWART, KOLASCH & BIRCH, LLPP.O. Box 747 • Falls Church, Virginia 22040-0747
Telephone: (703) 205-8000 • Facsimile: (703) 205-8050**PLEASE NOTE:
YOU MUST
COMPLETE THE
FOLLOWING****COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT AND DESIGN APPLICATIONS**

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Insert Title:

METHOD AND DEVICE FOR FIXING MICRO-AND/OR NANO-OBJECTS**Fill in Appropriate
Information -
For Use Without
Specification
Attached:**

the specification of which is attached hereto. If not attached hereto,

the specification was filed on January 20, 2000 asUnited States Application Number 09/463,136;

and amended on _____ (if applicable) and/or

the specification was filed on May 20, 1999 as PCTInternational Application Number PCT/EP99/03476; and was

amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representative or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)**Priority Claimed****Insert Priority
Information:
(if appropriate)**

<u>198 23 660.3</u> (Number)	<u>Germany</u> (Country)	<u>May 20, 1998</u> (Month/Day/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Month/Day/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Month/Day/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Month/Day/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below.

**Insert Provisional
Application(s):
(if any)**

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More than 12 Months (6 Months for Designs) Prior to the Filing Date of This Application:

**Insert Requested
Information:
(if appropriate)**

Country	Application Number	Date of Filing (Month/Day/Year)
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and/or PCT application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States and/or PCT application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

**Insert Prior U.S.
Application(s):
(if any)**

_____ (Application Number)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)
_____ (Application Number)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)

I hereby appoint the following attorneys to prosecute this application and/or an international application based on this application and to transact all business in the Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the attorneys identified below, unless the inventor(s) or assignee provides said attorneys with a written notice to the contrary:

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PLEASE NOTE:
YOU MUST
COMPLETE
THE
FOLLOWING:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First
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Insert Name of
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Insert Date This
Document is Signed

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Insert Citizenship →

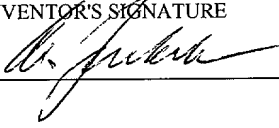
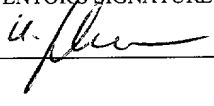

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